MONROE COUNTY CLERK'S OFFICE THIS IS NOT A BILL. THIS IS YOUR RECEIPT.

Receipt # 3811878

Book Page CIVIL

Return To:
JENNIFER MORGAN LEVY

No. Pages: 10

Instrument: EXHIBIT(S)

Control #: 202404041606
Index #: E2024003035

Date: 04/04/2024

Dias, Liyanagamage Ranganath Prabashwara

Time: 4:42:45 PM

University of Rochester

Total Fees Paid: $0.00

Employee:

State of New York

MONROE COUNTY CLERK'S OFFICE
WARNING – THIS SHEET CONSTITUTES THE CLERKS ENDORSEMENT, REQUIRED BY SECTION 317-a(5) & SECTION 319 OF THE REAL PROPERTY LAW OF THE STATE OF NEW YORK. DO NOT DETACH OR REMOVE.

JAMIE ROMEO

MONROE COUNTY CLERK

EXHIBIT A

OFFICE OF THE DEAN

Wendi Heinzelman
Professor and Dean

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

PERSONAL AND CONFIDENTIAL

May 26, 2017

Dr. Liyanagamage R. Dias
[redacted]

Dear Dr. Dias:

On the recommendation of John Lambropoulos, Department Chair of Mechanical Engineering, I am very pleased to offer you appointment as tenure-track Assistant Professor in the Department of Mechanical Engineering. The initial term of your appointment is four years, starting July 1, 2017 and ending June 30, 2021.

Salary. Your 2017-18 academic year salary will be $98,000. Thereafter, consideration for salary increments will occur on an annual schedule, with review for the 2018 -2019 academic year occurring in Spring 2018.

Start-up funds. During your initial appointment period, the Hajim School of Engineering & Applied Sciences will provide you with start-up funds totaling $750,000. These funds may be used for equipment, supplies, student support, technical support, etc., and a portion may also be used for summer salary, as outlined below. We are providing this as a lump sum to give you the maximum flexibility in allocating resources as you develop your research program. Funds not expended in the first year may be carried forward to the second or third year, but all funds must be expended by June 2020. Equipment purchased with these funds remains the property of the University. The expectation is that research activities over the long-term will be sustained by funds from external sources.

Summer Salary. Engineering faculty may earn up to 2.5 months of summer salary per year in addition to their academic year salary contingent on continued service at the University; salary for each summer month is 1/9 of the academic year salary. In rare instances, three months of summer salary may be earned, but the faculty member must provide documentation that he or she is in residence and actively engaged in research activities throughout the three-month period. It is expected that you will submit at least one grant that requests summer salary, and draw months of summer salary from the grant(s) if funded. However, as part of your start-up, we have included funds for up to one month of summer salary and benefits during the first three years of your appointment, with no more than two months being taken in any one summer, and none before summer 2018.

Office and Laboratory Space. You will be provided with a faculty office and 500 sq. ft. of laboratory space. Space is assigned by the chair and may be adjusted depending on research activity or other factors.

Teaching. Teaching assignments are made by the department chair. The standard teaching load for faculty in the Hajim School with active research programs is two courses per year, and you will be expected to teach every year at both the undergraduate and graduate levels. During the academic year 2017-18, you will have a reduced teaching load of one course. Faculty whose research productivity is low over a sustained period are likely to be assigned teaching loads that are higher than the average. Faculty are also expected to provide independent study courses and graduate research supervision and mentoring.

309 Lattimore Hall · P.O. Box 270076 · Rochester, NY 14627-0076
585.273.3958 · 585.461.4735 *fax* · wendi.heinzelman@rochester.edu · www.hajim.rochester.edu

OFFICE OF THE DEAN

Wendi Heinzelman
Professor and Dean

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

The paragraphs below outline more general terms and information that apply to all tenured or tenure-track appointments.

- Consideration and notice for renewal of a term appointment, for promotion, and for tenure are as provided in the Faculty Handbook according to time in rank.

- Faculty responsibilities include continued significant scholarship as defined in your field, teaching, and administrative service. The chair of the department, in consultation with the dean as necessary, has the authority to change the distribution of duties.

- Except as allowed by the Faculty Handbook, faculty members are expected to devote full-time efforts to performing assigned duties for the University during the academic year. Faculty members should be on campus from registration week in the fall and be available to meet classes and perform their other University duties through the end of the semester in May.

- Academic year salary is earned during the 9-month period from September 1 through May 31, but is paid in twelve equal installments during the period July through June. Your regular payday will be on the last day of the month. Payments made in July and August are considered advances for the July 1-June 30 academic year in which such payments are made.

- The University will make any required deductions from salary for income tax, social security and participation in the University's program of group insurance and medical coverage. You will receive those benefits for which you are eligible and that you elect, as described more fully in the Faculty Handbook, the Human Resource Policies, and the University's benefits plans and policies. There is a two-year waiting period for participation in the University's retirement program, unless previous service credit can be claimed. Further information on benefits is available at: https://www.rochester.edu/working/hr/benefits/

- This offer and your continued employment at the University is contingent on establishing eligibility to work in the United States in accordance with federal law. Failure to maintain proper status may be grounds for termination of employment and your faculty appointment. The University's International Services Office is available for advice and assistance in meeting immigration requirements. Please refer to www.iso.rochester.edu To establish eligibility, Section 1 of the I-9 form must be completed by you before or on your first day of work at the University. To complete section 1 of the I-9 on-line, visit this web page, http://www.newi9.com/
  You must also provide acceptable documentation of work authorization for completion of Section 2 with your department representative no later than your third day of work for the University.

- To assist in relocation to Rochester, the University will provide a moving allowance based on distance and household size (number of bedrooms). The University reimburses actual moving expenses for which receipts can be provided. Additional details can be found at:
  http://www.rochester.edu/adminfinance/finance/policies/moving.html

309 Lattimore Hall · P.O. Box 270076 · Rochester, NY 14627-0076
585.273.3958 · 585.461.4735 *fax* · wendi.heinzelman@rochester.edu · www.hajim.rochester.edu

OFFICE OF THE DEAN

Wendi Heinzelman
Professor and Dean

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

- All faculty members have a continuing responsibility to stay familiar with and follow current policies and guidelines applicable to them. These can be found in various sources, including the Provost's web page, the Faculty Handbook, the Human Resources web page, and the Arts, Sciences and Engineering Intranet.

- The University is required to invite you to provide certain demographic information (race/ethnicity, disability and veteran status). You can do this by completing and returning the enclosed self-identification forms with your signed letter of acceptance to my office.

If you find these terms acceptable, please indicate this by returning a signed copy of this letter and the enclosed information form to my office (University of Rochester, Deans' Office, 309 Lattimore Hall, Rochester, NY 14627) no later than Friday, June 9, 2017. This letter supersedes prior discussions or agreements and any changes to these terms must be made in writing and signed by you and the dean. The enclosed Intellectual Property Agreement, which is also a required part of these terms, will need to be signed upon your arrival.

Please note that your pay rate, regular payday, and the University's main address (Brooks Landing, Business Center, Suite 200, Rochester, NY 14611-3847 (telephone: 585-275-2040) are being disclosed to you in accordance with requirements under New York State law. New York State also requires employers to ask employees to identify a primary language so that the information can be provided in the employee's primary language, if such a form is available. When you return this letter, please identify your primary language in the space indicated at the end of this letter and also sign and date the section acknowledging receipt of the required information.

Your departmental colleagues and I are delighted at the prospect of your joining the faculty and having your career thrive here. We all look forward to welcoming you to campus.

Sincerely,

Wendi B. Heinzelman

Wendi Heinzelman
Professor & Dean

John C. Lambropoulos

John Lambropoulos
Department Chair

Accepted_____ Date_____

Acknowledgement of Receipt of NYS Notice Of Pay Rate, Payday: I hereby acknowledge that, with this letter, I received, in English, notice of my pay rate and my designated payday. I also hereby disclose to the University of Rochester that my primary language is_____.

_____ _____
[signature] [date]

309 Lattimore Hall · P.O. Box 270076 · Rochester, NY 14627-0076
585.273.3958 · 585.461.4735 *fax* · wendi.heinzelman@rochester.edu · www.hajim.rochester.edu

DEPARTMENT OF MECHANICAL ENGINEERING

Renato Perucchio, PhD
Professor and Chair

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

18 February 2020

Professor Ranga Dias
Dept. Mechanical Engineering
University of Rochester

Dear Ranga,

Based on the unanimous and enthusiastic recommendation of the tenured faculty in the Dept. of Mechanical Engineering, it is my pleasure to recommend the extension of your appointment for three years as Assistant Professor of Mechanical Engineering from July 1, 2021 to June 30, 2024. The other terms of your appointment will remain the same. You will be reviewed for promotion to Associate Professor with Tenure in Fall 2022.

You have been an asset to the Mechanical Engineering Department and are viewed as a very valuable colleague by our faculty members in the Department. You have contributed significantly to the Department through teaching and service. Your funded research efforts have been impressive. You have set up your research laboratory, mentoring a number of graduate and undergraduate students, establishing a strong, well-funded, and very active research group. The results of your research are impactful. You maintain a strong publication record in high visibility journals. In addition, you have also established strong collaborations while developing in parallel your own signature research.

Since joining the department in July 2017, you have contributed significantly to the Department's teaching mission, having taught two different courses, one at the undergraduate level and one (offered twice) at the graduate level, during your first five semesters. One of the courses you taught, ME 123 Engineering Thermodynamics – a required class for all MechE majors, as well as BME majors in the biomechanics track – is an especially time-consuming course to teach because its large size of 100 students You approach teaching enthusiastically, and your efforts have been well received by students. You received satisfactory ratings in all of the courses you taught, with instructor ratings from 3.8 to 4.5, and course ratings from 3.8 to 4.3. It is clear that you have made a strong first stride as a teacher of both undergraduate and graduate students.

Your service contributions have included undergraduate advising and serving on the Department Graduate Admissions. At the ASE level you have advised students in the Kearns Center, interviewed for the GEAR program, and advised Xerox research undergraduate fellows. At the national level, you have participated in a Harvard panel on junior faculty recruitment and chaired a session in a large international conference on high pressure science. Therefore, you have contributed at various levels within and outside the University. Your service contributions are fully satisfactory.

236 Hopeman Engineering Building · Box 270132 · Rochester, NY 14627-0132
585.273.4071 · 585.276.2509 *fax* · rlp@me.rochester.edu

You have been very active in establishing your research team and setting up your research laboratory. You are currently mentoring five PhD students and you have recruited a large number (more than 10) of talented undergraduates to be trained in your lab. Your effort in extending an important research opportunity to UR undergraduates is particularly noteworthy.

Your success in securing research funding from the Dept. of Energy and NSF's Division of Materials Research is impressive for a scientist in an early career stage: Two grants as sole PI amounting to $1.15M. Just as important is your being co-PI in a recent DOE award amounting almost to $4M on the science of high energy density quantum matter (with Rip Collins as the PI.) The collaboration with the high energy density research team at LLE led by Prof. Rip Collins is very exciting, since it demonstrates your ability to collaborate on large-scale research projects.

In the last eight years, you have published or have under review 20 papers, for an average productivity of 2.5 papers per year. Some of these papers have been published/submitted in high impact journals: Four papers in Science, two in Nature journals, two in Physical Review Letters, and two in the Proceedings of the National Academy of Sciences. You have published six papers since joining the University in 2017. You are first author on two of these publications and five of these papers have as co-authors three of your PhD students here at Rochester. Your average of 2.5 publications per year is a reasonable rate of publication.

Your work is gaining national visibility. Since 2013, you have given 18 invited talks, including four in the exclusive Gordon Research Conferences which concentrate in significant materials and physics challenges and draw the top academic researchers. In addition, your research has drawn significant media attention, for example by the New York Times, BBC Podcast Science, NPR Science Friday, the Independent newspaper and, perhaps surprisingly, in the Rachel Maddow Show – a clear indication that your research is seen to be exciting and having high impact.

Our overall consensus is that you should continue to establish a strong publication record from research done at the University of Rochester, especially since your funded research success is highly satisfactory.

In summary, as goals for the next three years, I identify:

(i) Apply for a NSF and/or DOE CAREER grant.
(ii) Continue on strong and successful grants applications.
(iii) Continue on good publication pace, especially for work in the Dias lab with the Dias' research team.
(iv) Continue on strong collaboration with LLE in the exciting area of high pressure materials science, while maintaining the DAC research in the Dias lab.
(v) Continue with service at the university and national levels, thus promoting name recognition in the wider scientific community on the way to the tenure milestone.
(vi) Continue on a strong mentoring role with your PhD students. With two expected to defend their work in 2023, it is important also to continue recruiting doctoral students. Involving undergraduates in the Dias lab is an excellent way early to cultivate appetite for research among our best students.

I will close this letter with my sincere congratulations! You are doing all the right things and are on track to become a successful member of our University Community. You are certainly developing into a very valuable colleague in the Mechanical Engineering Department.

Sincerely,

Renato Perucchio
Professor and Department Chair, Department of Mechanical Engineering
Professor of Biomedical Engineering
Director, Program in Archaeology, Technology, and Historical Structures

FILED: MONROE COUNTY CLERK 04/04/2024 04:41 PM INDEX NO. E2024003035
NYSCEF DOC. NO. 79 RECEIVED NYSCEF: 04/04/2024

OFFICE OF THE DEAN

Wendi Heinzelman
Professor and Dean

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

March 20, 2020

Professor Ranga Dias
Department of Mechanical Engineering

Dear Professor Dias:

On the recommendation of Professor Perucchio, I am pleased to confirm your reappointment as Assistant Professor of Mechanical Engineering for a period of three years beginning July 1, 2021. Your tenure review will take place in the fall semester of 2022 with notification to you in the spring of 2023.

All other terms of your appointment will remain as previously stated to you. My colleagues in the Deans' Office join me in expressing appreciation for your service to the University.

Sincerely,

Wendi B. Heinzelman

Wendi Heinzelman

Cc: Professor Renato Perucchio Chair, Department of Mechanical Engineering

309 Lattimore Hall · P.O. Box 270076 · Rochester, NY 14627-0076
585.273.3958 · 585.461.4735 *fax* · wendi.heinzelman@rochester.edu · www.hajim.rochester.edu

FILED: MONROE COUNTY CLERK 04/04/2024 04:41 PM INDEX NO. E2024003035
NYSCEF DOC. NO. 79 RECEIVED NYSCEF: 04/04/2024

OFFICE OF THE DEAN

Wendi Heinzelman
Professor and Dean

HAJIM SCHOOL OF ENGINEERING & APPLIED SCIENCES
UNIVERSITY of ROCHESTER

January 8, 2021

Professor Liyanagamage (Ranga) Dias
Department of Mechanical Engineering

Dear Ranga:

I understand from discussions with your department chair, Renato Perucchio, that Cornell University has been pursuing you about a position. Your departmental colleagues, as well as Provost Rob Clark, Dean of Faculty, Donald Hall, and I, very much want to retain you as a faculty member at the University of Rochester.

We hope the following terms of a retention offer will enable you to stay at the University of Rochester:

1. Your academic year salary, inclusive of promotion salary increases, will be set as follows:
    a. Effective July 1, 2021: 25% increase: $127,450
    b. Effective July 1, 2022: 25% increase: $159,312
    c. Effective July 1, 2023: 25% increase: $199,140
2. To provide you with increased time to further your ground-breaking research on room temperature superconductivity, you will be provided with a reduced teaching load of one undergraduate course a year for three academic years: 2021-22, 2022-23 and 2023-24.
3. The Hajim Dean's Office will provide you with $20K/year for 3 years in discretionary funding.
4. A prioritized hire in the HEDP space that would work closely with you within Mechanical Engineering will be supported at some point over the next two to three years.
5. You will be provided additional space in Hopeman, as you have discussed with Greg Meyer. Renovation costs will be covered by AS&E.
6. Upon positive recommendation from the department chair, the Deans Office will support a semester of paid leave of absence or a year of ½ paid leave during the academic year following your approval for tenure. This leave must meet the criteria of any academic leave, including formal application and seeking support for external funding. We will recommend any salary not obtained from external sources be covered by the University. Final approval of any leave, whether unpaid or partially or fully paid, rests with the Provost.
7. Assuming you are granted tenure at the University of Rochester, you agree to remain off the job market through June 30, 2023.

If these terms are acceptable, please indicate as such by signing a copy of this letter and returning it to my attention or to Tammy Michielsen via email at tammy.michielsen@rochester.edu no later than January 14. I look forward to your continued association with the University of Rochester!

Sincerely,

Wendi B. Heinzelman

Wendi Heinzelman

Accepted: _____ Date: 01/14/2021

309 Lattimore Hall · P.O. Box 270076 · Rochester, NY 14627-0076
585.273.3958 · 585.461.4735 *fax* · wendi.heinzelman@rochester.edu · www.hajim.rochester.edu